

*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

Applicant: Jeffrey Capone et al.

Title: METHOD AND FRAMEWORK  
FOR TRANSACTION  
SYNCHRONIZATION

Appl. No.: Unknown

Filing Date: CONCURRENTLY HEREWITH

Examiner: Unknown

Art Unit: Unknown

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Jeffrey Capone  
4111 N. Drinkwater Blvd., #309  
Scottsdale, Arizona 85251

Pramod Immaneni  
1115 E. Lemon Street, #125  
Tempe, Arizona 85281

Sudhakaran Venkata Mudiam  
1145 E Locust Dr.  
Chandler, Arizona 85249

[ X ] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (19 pages).
- ☒ Formal drawings (7 sheets, Figures 1, 2, 3, 4, 5, 6, 7).
- ☐ Declaration and Power of Attorney (4 pages).
- ☐ Assignment of the invention to Aligo Inc..
- ☐ Assignment Recordation Cover Sheet.
- ☐ Small Entity statement.
- ☐ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☐ Information Disclosure Statement.
- ☐ Form PTO/SB/08 with copies of \_\_\_ listed reference(s).
- ☒ Application Data Sheet (37 CFR 1.76).
- ☐ Claim for Convention Priority.

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total Claims:	26	- 20	= 6	x \$18.00	= \$108.00
Independents:	4	- 3	= 1	x \$84.00	= \$84.00
If any Multiple Dependent Claim(s) present:			+	\$280.00	= \$0.00
				SUBTOTAL:	= \$942.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$471.00
				TOTAL FILING FEE:	= \$471.00


- ☒ A check in the amount of \$471.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

[ X ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 8-28-03

By 

FOLEY & LARDNER  
Customer Number: 23392



23392

PATENT TRADEMARK OFFICE

Telephone: (310) 975-7961  
Facsimile: (310) 557-8475

Irvin C. Harrington  
Attorney for Applicant  
Registration No. 44,740

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 038927-0203

In re patent application of

Jeffrey Capone et al.

Group Art Unit: Unassigned

Appl. No. Unassigned

Examiner: Unassigned

Filing Date: CONCURRENTLY HEREWITH

For: METHOD AND FRAMEWORK FOR TRANSACTION SYNCHRONIZATION

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (Express Mail Label No. EV060045154US) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

1. Utility Patent Application Transmittal
2. Application Data Sheet (4)
3. Specification, Claims and Abstract (19 pages)
4. Formal Drawings (7 sheets)
5. Postcard
6. Check No. 16454 (\$471)

Respectfully submitted,

8-28-03  
Date

Irvin C. Harrington, III  
Irvin C. Harrington, III  
Reg. No. 44,740

Foley & Lardner  
2029 Century Park East, 35<sup>th</sup> Floor  
Los Angeles, CA 90067-3021  
Telephone: 310-277-2223  
Facsimile: 310-557-8475